# Lung recruitment

This bibliography is a literature reference for users and represents selected relevant publications, without any claim to completeness.

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34 The Nature of Recruitment and Derecruitment and its Implications for Management of ARDS.

35 Recruitment maneuvers and higher PEEP, the so-called open lung concept, in patients with ARDS.

36 Lung recruitment maneuvers for adult patients with acute respiratory distress syndrome. A systematic review and meta-analysis.

37 Lung Recruitment Maneuvers for ARDS Patients: A Systematic Review and Meta-Analysis.

38 Recruitment maneuvers and PEEP titration.

39 Lung recruitment in acute respiratory distress syndrome: what is the best strategy?

40 Recruitment maneuvers for acute lung injury: a systematic review.
Personalised mechanical ventilation tailored to lung morphology versus low positive end-expiratory pressure for patients with acute respiratory distress syndrome in France (the LIVE study): a multicentre, single-blind, randomised controlled trial


**Design**
Multicentre (in 20 university or non-university intensive care units in France), single-blind, stratified, parallel-group, randomised controlled trial: In the control group, patients received a tidal volume of 6 ml/kgPBW, PEEP was selected according to a low PEEP/FiO2 table, and early prone position was encouraged. In the personalised group, the treatment approach was based on lung morphology; patients with focal ARDS received a tidal volume of 8 ml/kg, low PEEP, and prone position. Patients with non-focal ARDS received a tidal volume of 6 ml/kg, along with recruitment manoeuvres and high PEEP.

**Patients**
420 patients with moderate-to-severe ARDS for less than 12 h were randomly assigned to either the control group or the personalised group.

**Objectives**
Test whether a mechanical ventilation strategy personalised to individual patients' lung morphology (low PEEP, high tidal volume, and early prone position for focal ARDS and recruitment manoeuvres and high PEEP for non-focal ARDS) would improve the survival of patients with ARDS in comparison to the well established low-PEEP strategy.

**Main Results**
Eleven patients in the personalised group and nine patients in the control group were excluded; 196 patients in the personalised group and 204 in the control group were included in the analysis. In a multivariate analysis, there was no difference in 90-day mortality between the group. However, misclassification of patients as having focal or non-focal ARDS by the investigators was observed in 85 (21%) of 400 patients. There was a significant interaction between misclassification and randomised group allocation with respect to the primary outcome (p<0.001). In the subgroup analysis, the 90-day mortality of the misclassified patients was higher in the personalised group (26 [65%] of 40 patients) than in the control group (18 [32%] of 57 patients).

**Conclusion**
Personalisation of mechanical ventilation did not decrease mortality in patients with ARDS, possibly because of the misclassification of 21% of patients. A ventilator strategy misaligned with lung morphology substantially increases mortality. Whether improvement in ARDS phenotyping can decrease mortality should be assessed in a future study.
Figure 1: High mortality was observed among the misclassified patients for whom the misaligned ventilator strategy was applied. Analysis of patients whose lung morphology was correctly classified at inclusion revealed a significant increase in survival for those in the personalised group. This finding suggests that misclassification of lung morphology might have concealed a potential beneficial effect of personalised mechanical ventilation on survival.
Effect of lung recruitment and titrated positive end-expiratory pressure (PEEP) vs. low PEEP on mortality in patients with acute respiratory distress syndrome: A randomized clinical trial.


JAMA. 2017 Oct 10;318(14):1335-1345

<table>
<thead>
<tr>
<th>Design</th>
<th>Multicenter, randomized trial conducted at 120 ICU, 9 countries</th>
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<tbody>
<tr>
<td>Patients</td>
<td>1010 moderate to severe ARDS</td>
</tr>
<tr>
<td>Objectives</td>
<td>Determine if RM (recruitment maneuver) with PEEP titration according to the best respiratory-system compliance decreases 28-day mortality</td>
</tr>
<tr>
<td>Main Results</td>
<td>Experimental group strategy had an increase in 6-month mortality (65.3% vs. 59.9%; p = 0.04), a decrease in the number of mean ventilator-free days (5.3 vs. 6.4; p = 0.03), and an increase in the risk of barotrauma and in the risk of pneumothorax requiring drainage. There were no significant differences in the length of ICU stay, length of hospital stay, ICU mortality, and in-hospital mortality.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Without a prior recruitability assessment, a strategy of lung recruitment and titrated PEEP increased 28-day all-cause mortality compared with low PEEP.</td>
</tr>
<tr>
<td>Comment</td>
<td>A lot of limitations: - COPD and emphysema were not contraindicated and they have an increased risk of pneumothorax - Recruitability was not assessed before the RM and most of the patients (&gt;60%) had a pulmonary ARDS (usually less recruitable), the ART trial did not distinguish between responders and non-responders - The staircase RM is a prolonged increase in pressure with high level of pressure. A mean reduction in driving pressure of only 2 cmH2O was found, indicating that the recruitment maneuver was inadequate to open up the lung and increase functional residual capacity in most patients. - Mortality is 60% vs. supposed 36% - Only 10% of the patients were in prone position - Inclusion from november 2011 to April 2017 = 66 month i.e 3 patients /month with 120 ICU - Double triggering and breath stacking was very likely common due to tidal volumes &lt;6ml/kg - Patients were evaluated under a standardized ventilator setting using PEEP ≥10 and FiO2 = 1 for 30 min. Only patients with a persistent PaO2/FiO2 ≤200 were eligible for randomization.</td>
</tr>
</tbody>
</table>
Figure 2: Kaplan Meier curve shows an increase in mortality in RM group.
Effect of intensive vs moderate alveolar recruitment strategies added to lung-protective ventilation on postoperative pulmonary complications: a randomized clinical trial


JAMA. 2017 Apr 11;317(14):1422-1432

**Design**
Prospective randomized trial: intensive (high-pressure recruitment maneuver and PEEP = 13 cmH2O) or moderate (low-pressure recruitment maneuver and PEEP = 8 cmH2O) recruitment strategy

**Patients**
320 hypoxemic patients after cardiac surgery

**Objectives**
Assess the effect of an intensive alveolar recruitment strategy on postoperative pulmonary complications in patients receiving lung-protective ventilation with small tidal volumes

**Main Results**
The intensive recruitment strategy group had a mean 1.8 (1.7-2.0) and a median 1.7 (1.0-2.0) pulmonary complications score versus 2.1 (2.0-2.3) and 2.0 (1.5-3.0) for the moderate strategy group. The mean hospital stay for the intensive group was 10.9 days versus 12.4 days in the moderate group (p = 0.04). The mean ICU stay for the intensive group was 3.8 days versus 4.8 days for the moderate group (p = 0.01). Hospital mortality was 2.5% in the intensive group versus 4.9% in the moderate group (p > 0.05).

**Conclusion**
Among patients with hypoxemia after cardiac surgery, the use of an intensive alveolar recruitment strategy resulted in fewer severe pulmonary complications than a moderate recruitment strategy.

**Comment**
This study assesses the effect of an intensive recruitment strategy on complications in post-cardiac surgery patients

*Figure 3: The severity and occurrence of postoperative pulmonary complications were reduced by use of an intensive recruitment strategy.*

<table>
<thead>
<tr>
<th>Modified score of pulmonary complications</th>
</tr>
</thead>
<tbody>
<tr>
<td>Grade 0 (no symptoms)</td>
</tr>
<tr>
<td>Grade 4</td>
</tr>
</tbody>
</table>

![Graph showing the severity and occurrence of postoperative pulmonary complications with different recruitment strategies.](image-url)
Open lung approach for the acute respiratory distress syndrome: A pilot, randomized controlled trial


**Design**
Prospective, multicenter, pilot, randomized controlled trial

**Patients**
200 moderate to severe early onset ARDS

**Objectives**
Compared the ARDSnet protocol using low levels of PEEP with open lung approach (OLA = recruitment maneuver and decremental PEEP trial based on the best compliance)

**Main Results**
Mortality at day 60 (29% OLA vs. 33% ARDSnet protocol, p=0.18), ICU mortality (25% OLA vs. 30% ARDSnet protocol, p = 0.53), and ventilator-free days (8 [0-20] OLA vs. 7 [0-20] d ARDSnet protocol, p = 0.53) were not significantly different. Airway driving pressure and PaO2/FIO2 improved significantly at 24, 48 and 72 hours in patients in OLA compared with patients in ARDSnet protocol

**Conclusion**
OLA improved oxygenation and driving pressure

*Figure 4: OLA was associated with better survival (with no statistically significant difference) in this pilot study, but a large, randomized controlled trial should be performed to compare outcomes between OLA and the ARDSnet protocol*
How large is the lung recruitability in early acute respiratory distress syndrome: a prospective case series of patients monitored by computed tomography

de Matos GF, Stanzani F, Passos RH, Fontana MF, Albaladejo R, Caserta RE, Santos DC, Borges JB, Amato MB, Barbas CS
Crit Care. 2012 Jan 8;16(1):R4

Design
Prospective interventional study: Maximal recruitment strategy (MRS) staircase RM (recruitment maneuver) up to 45 cmH2O

Patients
51 early severe ARDS patients

Objectives
Describes the effects of maximal recruitment strategy

Main Results
The opening plateau-pressure was 60 ±6 cmH2O. Mean PaO2/FiO2 ratio increased from 125 ±43 to 300 ±103 after RM and was sustained above 300 throughout seven days. Non-aerated parenchyma decreased significantly from 54% [42-62] to 13% [5-24] RM. The potentially recruitable lung was estimated at 45% [25-53]. ICU mortality = 28% and hospital mortality = 33%.

Conclusion
MRS reversed hypoxemia and most of the collapsed lung tissue during the early stages of ARDS.

Figure 5: RM followed by PEEP titration allowed a decrease of non-aerated tissue. Potential for recruitment is variable among ARDS patients and seems higher in early onset ARDS patients (grey histogram) than late ARDS patients (dashed lines)
Optimal duration of a sustained inflation recruitment maneuver in ARDS patients

Arnal JM, Paquet J, Wysocki M, Demory D, Donati S, Granier I, Corno G, Durand-Gasselin J

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: 30 seconds sustained inflation at 40 cmH2O</th>
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<tbody>
<tr>
<td>Patients</td>
<td>50 early ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Measure the dynamics of recruitment and the hemodynamic status during RM (recruitment maneuver)</td>
</tr>
<tr>
<td>Main Results</td>
<td>The average volume increase was 210 ±198 ml. Time constant was 2.3 ±1.3 s. Systolic and mean arterial pressures were maintained at 10 s, decreased significantly at 20 and 30 s during the RM, and recovered to the pre-RM value 30 s after the end of the RM. Heart rate, diastolic arterial pressure, and SpO2 did not change during or after the RM.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Most of the recruitment occurred during the first 10 s and hemodynamic impairment was significant after 10 s = The optimal duration of RM by sustained inflation is around 10 s</td>
</tr>
</tbody>
</table>

![Figure 6: Individual curves of sustained inflation showed that 10 seconds were sufficient to achieve the maximal increase in volume](image-url)
Prone position and recruitment manoeuvre: the combined effect improves oxygenation

Rival G, Patry C, Floret N, Navellou JC, Belle E, Capellier G
Crit Care. 2011 May;15(3):R125

**Design**  
Prospective interventional study: Each patient was ventilated 6h in both the supine position (SP) and the prone position (PP). A 45 cmH2O extended sigh in PC was performed at the beginning of SP RM1 (recruitment maneuver), one hour after turning to the PP (RM2) and at the end of the 6h PP period (RM3).

**Patients**  
16 early ARDS patients

**Objectives**  
Study the effects on oxygenation of both RM and PP

**Main Results**  
Improvements in PaO2 level and PaO2/FiO2 ratio were transient in SP but durable during PP. PaO2/FiO2 changes were significant only after RM3. This global strategy had a benefit with regard to oxygenation: PaO2/FiO2 ratio increased from 98 mmHg to 166 mmHg 13 hours later at the end of the study. Pplat (plateau pressure) at decreased after each RM and over the entire PP period.

**Conclusion**  
Combined RM and PP increased oxygenation

**Comment**  
This RM method is associated with high VT with a risk of volutrauma.

![Figure 7: Recruitment protocol in pressure control ventilation: increase of Pinsp by 5 cmH2O every 30s, to 45 cmH2O; then a 30 s pause is performed; then Pisp is decrease by 5 cmH2O every 30 s to baseline.](image)
Clinical efficacy and safety of recruitment maneuver in patients with acute respiratory distress syndrome using low tidal volume ventilation: a multicentre randomized controlled clinical trial

Xi XM, Jiang L, Zhu B; RM group

**Design**
Multicenter RCT: 40 seconds sustained inflation at 40 cmH2O/8 h during 5 days versus no RM (recruitment maneuver)

**Patients**
110 ARDS patients

**Objectives**
Evaluate the clinical efficacy and safety of RM

**Main Results**
In the RM group the PaO2/FiO2 was increased compared to baseline on day one and day two (P = 0.007 and P = 0.001). There were no significant differences in hospital mortality, 28-day mortality and ventilator-free days at day 28. ICU mortality (32.7% vs. 52.7%), the rate of survival with unassisted breathing for at least 48 consecutive hours at day 28 (58.2% vs. 36.2%) and nonpulmonary organ failure-free days at day 28 (17 ±11 vs. 13 ±12) favored the RM group.

**Conclusion**
RM had beneficial impact on clinical outcome

*Figure 8: RM increased survival in ARDS patients*
A recruitment maneuver increases oxygenation after intubation of hypoxemic intensive care unit patients: a randomized controlled study

Crit Care. 2010 Apr;14(2):R76

Design  RCT: 30 seconds sustained inflation at 40 cmH2O versus no RM (recruitment maneuver)

Patients  40 patients intubated for acute hypoxemic respiratory failure

Objectives  Evaluate the efficacy and safety of RMs performed immediately after intubation

Main Results  5 min after intubation, PaO2 obtained under 100% FiO2 was significantly higher in the RM group compared with the control group (93 ±36 vs 236 ±117 mmHg). The difference remained significant at 30 minutes with 110 ±39 and 180 ±79 mmHg, respectively, for the control and RM groups. RM was not associated with increased adverse effects.

Conclusion  RM following intubation in hypoxemic patients improved oxygenation

Figure 9: RM after intubation increased oxygenation, and oxygenation remained high after 30 min
Reversibility of lung collapse and hypoxemia in early acute respiratory distress syndrome

Am J Respir Crit Care Med. 2006 Aug 1;174(3):268-78

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: Maximal recruitment strategy, staircase RM (recruitment maneuver) up to 45 cmH2O</th>
</tr>
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<tbody>
<tr>
<td>Patients</td>
<td>26 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Test if RM is clinically applicable in early ARDS</td>
</tr>
<tr>
<td>Main Results</td>
<td>There was an improvement in oxygenation and reduction in the percent mass of collapsed tissue between Paw (airway pressure) = 40 and 60 cmH2O.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>In early ARDS it was possible to open the lung in the majority of patients</td>
</tr>
</tbody>
</table>

![Figure 10: Some patients needed Pplat (plateau pressure) = 60 cmH2O to full recruitment](http://example.com/figure10.png)

Percent of patients fully recruited (n=24)

<table>
<thead>
<tr>
<th>Pplat (cmH2O)</th>
<th>Percentage</th>
</tr>
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<tbody>
<tr>
<td>40</td>
<td>50%</td>
</tr>
<tr>
<td>45</td>
<td>40%</td>
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<tr>
<td>50</td>
<td>30%</td>
</tr>
<tr>
<td>55</td>
<td>20%</td>
</tr>
<tr>
<td>60</td>
<td>10%</td>
</tr>
</tbody>
</table>

(assessment performed at PEEP = 25 cmH2O)
Lung recruitment in patients with the acute respiratory distress syndrome

Gattinoni L, Caironi P, Cressoni M, Chiumello D, Ranieri VM, Quintel M, Russo S, Patroniti N, Cornejo R, Bugedo G

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: PC with Pplat (plateau pressure) = 45 cmH2O</th>
</tr>
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<tbody>
<tr>
<td>Patients</td>
<td>68 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Examine the relationship between the percentage of potentially recruitable lung and the clinical and physiological effects of RM (recruitment maneuver)</td>
</tr>
<tr>
<td>Main Results</td>
<td>Patients with a higher percentage of potentially recruitable lung had greater total lung weights, poorer oxygenation, lower Crs (compliance of respiratory system), higher levels of dead space and higher rates of death than patients with a lower percentage of potentially recruitable lung.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>In ARDS, the percentage of potentially recruitable lung is extremely variable and is strongly associated with the response to PEEP</td>
</tr>
<tr>
<td>Comment</td>
<td>PEEP was not set according to recruitability. Setting low PEEP in patient with high potential of recruitable lung increases VILI and mortality.</td>
</tr>
</tbody>
</table>

Figure 11: The potential of recruitability is different from patient to patient in ARDS. The patient with the highest potential of recruitability are those with the worst prognosis.
Lung computed tomography during a lung recruitment maneuver in patients with acute lung injury

Intensive Care Med. 2003 Feb;29(2):218-25

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: Staircase RM (recruitment maneuver) up to 30-40 cmH2O</th>
</tr>
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<tbody>
<tr>
<td>Patients</td>
<td>10 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Assess the acute effect of a RM on lung morphology</td>
</tr>
<tr>
<td>Main Results</td>
<td>Poorly aerated and non-aerated tissue at PEEP 10 cmH2O = 60 ±9% of lung parenchyma, 1 ±2% was hyperinflated. Increasing PEEP to 20 and 30 cmH2O, compared to PEEP 10 cmH2O, decreased poorly aerated and non-aerated tissue by 16 ±28% and 33 ±14%. Hyperinflated tissue increased up to 3 ±4% with PEEP 30 cmH2O.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>RM recruited collapsed alveoli without inducing too much hyperinflation</td>
</tr>
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</table>

![Figure 12: Increasing PEEP, decreased the non aerated tissue without increase of hyperinflated tissue](image)
Effects of recruiting maneuvers in patients with acute respiratory distress syndrome ventilated with protective ventilatory strategy

Grasso S, Mascia L, Del Turco M, Malacarne P, Giunta F, Brochard L, Slutsky AS, Marco Ranieri V
Anesthesiology. 2002 Apr;96(4):795-802

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: 40 seconds sustained inflation at 40 cmH2O</th>
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<tbody>
<tr>
<td>Patients</td>
<td>22 ARDS patients. Patients were classified as responders and nonresponders on the occurrence of a 50% increase in PaO2/FiO2</td>
</tr>
<tr>
<td>Objectives</td>
<td>Assess the influence of the elastic properties of the lung and chest wall on the effectiveness of a RM (recruitment maneuver).</td>
</tr>
<tr>
<td>Main Results</td>
<td>RM increased PaO2/FiO2 by 20 ±3% in nonresponders (n = 11) and by 175 ±23% (n = 11) in responders. El (elastance of lung) and Ecw (elastance of chest wall) were higher in nonresponders. Cardiac output and mean arterial pressure decreased by 31 ±2 and 19 ±3% in nonresponders and by 2 ±1 and 2 ±1% in responders.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>RM improved oxygenation and was well tolerated in patients who do not have impairment of chest wall mechanics</td>
</tr>
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</table>

*Figure 13: Some patients increased SpO2 during RM, they were called "Responders" and the others didn’t increase SpO2, the "Non-responders"*
Effect of Intraoperative High Positive End-Expiratory Pressure (PEEP) With Recruitment Maneuvers vs Low PEEP on Postoperative Pulmonary Complications in Obese Patients: A Randomized Clinical Trial

Writing Committee for the PROBESE Collaborative Group of the PROtective Ventilation Network (PROVEnet) for the Clinical Trial Network of the European Society of Anaesthesiology, Bluth T, Serpa Neto A, Schultz MJ, Pelosi P, Gama de Abreu M

JAMA. 2019 Jun 18;321(23):2292-2305

<table>
<thead>
<tr>
<th>Design</th>
<th>Multicenter randomized controlled trial: 77 sites, 23 countries</th>
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<tr>
<td>Patients</td>
<td>2013 adults with BMI of 35 or greater and substantial risk for postoperative pulmonary complications who were undergoing noncardiac, nonneurological surgery under general anesthesia</td>
</tr>
<tr>
<td>Objectives</td>
<td>Determine whether a higher level of PEEP (PEEP = 12 cmH2O) with alveolar recruitment maneuvers (stepwise increase of tidal volume and eventually PEEP) decreases postoperative pulmonary complications compared with a lower level of PEEP (4 cmH2O).</td>
</tr>
<tr>
<td>Main Results</td>
<td>The primary outcome was a composite of pulmonary complications within the first 5 postoperative days, including respiratory failure, acute respiratory distress syndrome, bronchospasm, new pulmonary infiltrates, pulmonary infection, aspiration pneumonitis, pleural effusion, atelectasis, cardiopulmonary edema, and pneumothorax. It occurred in 21.3% in the high level of PEEP group compared with 23.6% in the low level of PEEP group (p = 0.23).</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Among obese patients undergoing surgery under general anesthesia, an intraoperative mechanical ventilation strategy with a high level of PEEP and recruitment maneuvers without prior recruitability assessment did not reduce postoperative pulmonary complications.</td>
</tr>
<tr>
<td>Comment</td>
<td>Recruitment potential was not assessed before performing the recruitment maneuvers.</td>
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</table>
Recruitment maneuvers: using transpulmonary pressure to help Goldilocks

Baedorf Kassis E, Loring S, Talmor D.

<table>
<thead>
<tr>
<th>Design</th>
<th>Post hoc analysis of EPVent study</th>
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<tbody>
<tr>
<td><strong>Patients</strong></td>
<td>28 patients</td>
</tr>
<tr>
<td><strong>Objectives</strong></td>
<td>Measure the change in lung elastance during recruitment: A decrease in elastance of lung (negative $\Delta EL$) was considered evidence of recruitment and an increase in elastance of lung (positive $\Delta EL$) evidence of overdistension.</td>
</tr>
<tr>
<td><strong>Main Results</strong></td>
<td>Recruited volume was dependent on transpulmonary pressure during the maneuver and inversely dependent on $\Delta EL$ (elastance of lung): $\Delta EL$ was positive during recruitment in patients with PL,RM (peak transpulmonary pressure) $\geq$ 20 cmH2O - $\Delta EL$ was negative when PL,RM was between 10 and 20 cmH2O - $\Delta EL$ was negligible in patients with PL,RM below 10 cmH2O</td>
</tr>
<tr>
<td><strong>Conclusion</strong></td>
<td>The optimal peak transpulmonary pressure during recruitment, where pressure expands the lung, optimizes elastance, and avoids overdistension, is between 10 and 20 cmH2O.</td>
</tr>
<tr>
<td><strong>Comment</strong></td>
<td>Transpulmonary pressure helps to determine the pressure that is efficient and safe to perform a recruitment maneuver.</td>
</tr>
</tbody>
</table>
### Impact of recruitment on static and dynamic lung strain in acute respiratory distress syndrome

Anesthesiology. 2016 Feb;124(2):443-52

<table>
<thead>
<tr>
<th><strong>Design</strong></th>
<th>Animal study and prospective physiological study</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Patients</strong></td>
<td>6 oleic acid-injured pigs and 6 patients with moderate-to-severe ARDS</td>
</tr>
<tr>
<td><strong>Objectives</strong></td>
<td>Clarify the role of recruitment in strain measurements defined as the ratio between end-inspiratory volume and functional residual capacity</td>
</tr>
<tr>
<td><strong>Main Results</strong></td>
<td>In the animal model, recruitment caused a significant decrease in dynamic strain ($p&lt;0.01$), while increasing the static component. In patients, total strain remained constant for the three ventilatory settings. Increases in tidal volume had no significant effects. Increasing PEEP constantly decreased dynamic strain ($p&lt;0.05$) and increased static strain ($p&lt;0.05$). The changes in dynamic and total strain among patients were correlated to the amount of recruited volume.</td>
</tr>
<tr>
<td><strong>Conclusion</strong></td>
<td>Recruitment causes a shift from dynamic to static strain in early acute respiratory distress syndrome</td>
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</table>
Volume delivered during recruitment maneuver predicts lung stress in acute respiratory distress syndrome

Crit Care Med. 2016 Jan;44(1):91-9

<table>
<thead>
<tr>
<th>Design</th>
<th>EPVent substudy</th>
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<tbody>
<tr>
<td>Patients</td>
<td>42 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Determine whether the volume delivered during a recruitment maneuver (VRM), consisting of sustained inflation at 40 cmH2O for 30 s, is inversely associated with lung stress and mortality in acute respiratory distress syndrome</td>
</tr>
<tr>
<td>Main Results</td>
<td>VRM ranged between 7.4 and 34.7 ml/kg predicted body weight. Lower VRM predicted high end-inspiratory and tidal lung stress. Low VRM was also associated with an increased risk of death.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Low VRM predicted high lung stress and may predict risk of death in patients with acute respiratory distress syndrome</td>
</tr>
<tr>
<td>Comment</td>
<td>This study used VRM to assess the maximum size of the aerated lung to establish the potential recruitability</td>
</tr>
</tbody>
</table>

Sigh in supine and prone position during acute respiratory distress syndrome

Am J Respir Crit Care Med. 2003 Feb 15;167(4):521-7

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: 3 sighs/min</th>
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<tbody>
<tr>
<td>Patients</td>
<td>10 early ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Evaluate recruitment in supine and prone position</td>
</tr>
<tr>
<td>Main Results</td>
<td>Sighs increased PaO2 in both supine and prone position. The highest values of PaO2 and EELV (end-expiratory lung volume) occurred with the addition of sighs in prone and remained significantly elevated 1 hour after discontinuation of the sighs. The increase in PaO2 associated with the sighs, both in supine and prone position, correlated linearly with the increase of EELV.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>RM (recruitment maneuver) during ventilation in the prone position provided optimal lung recruitment</td>
</tr>
</tbody>
</table>
Maximal Recruitment Open Lung Ventilation in Acute Respiratory Distress Syndrome (PHARLAP): A Phase II, Multicenter, Randomized, Controlled Trial


Am J Respir Crit Care Med. 2019 Jul 29

Design
Phase II multicenter, randomized, controlled trial: Patients received either maximal lung recruitment, titrated positive end-expiratory pressure (PEEP) and tidal volume limitation, or control ‘protective’ ventilation: 35 ICUs in 5 countries

Patients
115 patients with acute respiratory distress syndrome (ARDS) (planned 340 patients) between October 2012 and September 2017

Objectives
Determine whether a maximal lung recruitment strategy reduces ventilator-free days in ARDS patients

Main Results
Enrolment was halted on 2 October, 2017 following publication of the Alveolar Recruitment Trial, when 115 of a planned 340 patients had been randomized. There were no differences in ventilator-free days, mortality, or barotrauma between groups. In the intervention group there was reduced use of hypoxemic adjuvant therapies (inhaled nitric oxide, extracorporeal membrane oxygenation, prone position),

Conclusion
Maximal lung recruitment without prior assessment of recruitability did not reduce the duration of ventilator-free days or mortality.

Comment
Recruitment potential was not assessed before the recruitment maneuvers were performed. The study was stopped early and enrolment was very slow.

Figure 14: Kaplan-Meier curve shows no difference in survival between the groups.
Opening pressures and atelectrauma in acute respiratory distress syndrome

Intensive Care Med. 2017 May;43(5):603-611

Design  Bicenter prospective study

Patients  33 ARDS: 5 mild, 10 moderate, 9 severe without ECMO, 9 severe with ECMO

Objectives  Measure the recruitment at different PEEP and plateau pressures

Main Results  The ratio of lung tissue which opened between 30 and 45 cmH2O increased with the severity of the disease between 10 ±29 and 185 ±134 g (p < 0.05). The intratidal collapses were similar at a PEEP level of 5 cmH2O and 15 cmH2O, regardless of the severity. Increasing the applied airway pressure up to 45 cmH2O decreased the lung inhomogeneity in mild and moderate ARDS, but not in severe ARDS.

Conclusion  Patients with the most severe ARDS are the most recruitable. For effective recruitment, airway pressures higher than 30 cmH2O are required.

Figure 15: Light gray: mild ARDS; Dark blue: moderate ARDS; Light blue: severe ARDS without ECMO, Dark gray: severe ARDS with ECMO. The more severe the ARDS, the more recruitable the lungs
A positive response to a recruitment maneuver with PEEP titration in patients with ARDS, regardless of transient oxygen desaturation during the maneuver


**Design**
Prospective interventional study: Staircase RM (recruitment maneuver) up to 40 cmH2O

**Patients**
20 early ALI patients

**Objectives**
Evaluate the safety and the respiratory and hemodynamic effects of a staircase RM

**Main Results**
There were significant improvements in shunt fraction, oxygen saturation (93% ±2% to 97% ±3%), PaO2, PaO2/FiO2, Crs (compliance of respiratory system), and chest x-ray after the RM. 80% of the patients responded and the response was maintained at 1 hour. 8 patients desaturated 6% ±3% in SpO2 during the RM but 5 of those improved SpO2 relative to baseline by the end of the RM.

**Conclusion**
Most patients with early ALI responded to the RM. Desaturation during the RM did not indicate a failed response 1 h later.

*Figure 16: Staircase recruitment maneuver protocol: Increase of PEEP by 10 cmH2O to 40 cmH2O, step = 2 min; decrease by 2.5 cmH2O, step = 3 min until SpO2 decrease*
Safety and efficacy of a sustained inflation for alveolar recruitment in adults with respiratory failure

Lapinsky SE, Aubin M, Mehta S, Boiteau P, Slutsky AS
Intensive Care Med. 1999 Nov;25(11):1297-301

**Design**  
Prospective interventional study: 20 seconds sustained inflation at 30 to 45 cmH2O

**Patients**  
14 patients with hypoxemic respiratory failure

**Objectives**  
Assess the safety and efficacy of a 20 second sustained inflation

**Main Results**  
Significant improvement in oxygenation occurred in the majority of patients within 10 min. The mean SpO2 improved from 87 ±5 to 94 ±2%. Hypotension and mild oxygen desaturation occurred in some patients during the 20-s inflation, reversing rapidly after inflation was terminated.

**Conclusion**  
Sustained inflation is a safe, clinically applicable RM (recruitment maneuver) that improves oxygenation

![Figure 17: SpO2 increased during the RM and remained higher than baseline after the RM](image-url)
Changes in shunt, ventilation/perfusion mismatch, and lung aeration with PEEP in patients with ARDS: a prospective single-arm interventional study

Karbing DS, Panigada M, Bottino N, Spinelli E, Protti A, Rees SE, Gattinoni L

<table>
<thead>
<tr>
<th>Design</th>
<th>Preliminary study: patients were subjected to recruitment maneuvers (90 s in pressure-control mode (PCV) with plateau pressure (Pplat) 45 cmH2O) followed by setting PEEP at 5 and then either 15 or 20 cmH2O</th>
</tr>
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<tbody>
<tr>
<td>Patients</td>
<td>12 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Investigate the association between changes in shunt, low and high ventilation/perfusion (V/Q) mismatch, and computed tomography-measured lung aeration following an increase in PEEP in patients with ARDS</td>
</tr>
<tr>
<td>Main Results</td>
<td>Increasing PEEP resulted in reduced values of pulmonary shunt and the percentage of non-aerated tissue, and an increased percentage of normally aerated tissue (p &lt; 0.05). Changes in shunt and normally aerated tissue were correlated. Three distinct responses to increase in PEEP were observed in values of shunt and V/Q mismatch: a beneficial response in seven patients, where shunt decreased without increasing high V/Q; a detrimental response in four patients where both shunt and high V/Q increased; and a detrimental response in one patient with reduced shunt but increased high V/Q mismatch. Non-aerated tissue decreased with increased PEEP in all patients, and hyperinflated tissue increased only in patients with a detrimental response in shunt and V/Q mismatch.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Improved lung aeration following an increase in PEEP is not always consistent with reduced shunt and V/Q mismatch. Poorly matched redistribution of ventilation and perfusion between dependent and non-dependent regions of the lung may explain why patients showed detrimental changes in shunt and V/Q mismatch on increase in PEEP despite improved aeration.</td>
</tr>
</tbody>
</table>
Bedside assessment of the effects of positive end-expiratory pressure on lung inflation and recruitment by the helium dilution technique and electrical impedance tomography

Intensive Care Med. 2016 Oct;42(10):1576-87

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective randomized crossover study</th>
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<tbody>
<tr>
<td>Patients</td>
<td>20 patients; 12 with acute hypoxemic respiratory failure and 8 with acute ARDS</td>
</tr>
<tr>
<td>Objectives</td>
<td>Measure PEEP-related lung volume changes by EIT (electrical impedance tomography) and by the helium dilution technique</td>
</tr>
<tr>
<td>Main Results</td>
<td>PEEP-induced changes in lung inflation and recruitment measured by electrical impedance tomography and helium dilution showed close correlation ($r^2=0.78$, $p&lt;0.001$ and $r^2=0.68$, $p&lt;0.001$, respectively) but with relatively variable limits of agreement. At higher PEEP, recruitment was evident in all lung regions ($p&lt;0.01$) and heterogeneity of tidal ventilation distribution was reduced by increased tidal volume distending the dependent lung ($p&lt;0.001$); in the non-dependent lung, compliance decreased ($p&lt;0.001$) and tidal hyperinflation significantly increased ($p&lt;0.001$). In the subgroup of ARDS patients tidal hyperinflation in the dependent lung regions decreased at higher PEEP ($p=0.05$), probably indicating higher potential for recruitment.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>A high level of PEEP exerts mixed effects on the regional determinants of VILI (ventilator-induced lung injury)</td>
</tr>
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</table>
Lung recruitment assessed by respiratory mechanics and computed tomography in patients with acute respiratory distress syndrome. What is the relationship?

Am J Respir Crit Care Med. 2016 Jun 1;193(11):1254-63

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study</th>
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<tbody>
<tr>
<td>Patients</td>
<td>22 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Determine recruitment measured by respiratory mechanics (PV curve and compliance) is comparable with recruitment measured by computed tomography</td>
</tr>
<tr>
<td>Main Results</td>
<td>Recruitment measured by PV curve was $54 \pm 28%$ and $39 \pm 25%$ using compliance of the gas volume at $5 \text{ cmH}_2\text{O}$ of PEEP. Recruitment measured by CT scan as not inflated tissue was $5 \pm 5%$ and $6 \pm 6%$ if we consider not and poorly inflated tissue, on the total lung tissue.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>Respiratory mechanics and CT measured two different entities. The respiratory mechanics-based methods included gas entering in already open pulmonary units that improve their mechanical properties (used to assess the overall improvement of inflation). The CT scan measured the amount of collapsed tissue that regains inflation.</td>
</tr>
</tbody>
</table>
### Dynamics of end expiratory lung volume after changing positive end-expiratory pressure in acute respiratory distress syndrome patients

Garnero A, Tuxen D, Corno G, Durand-Gasselin J, Hodgson C, Arnal JM
Crit Care. 2015 Sep 18;19:340

**Design**
Prospective interventional study: Staircase RM (recruitment maneuver) up to 40 cmH2O

**Patients**
26 early onset moderate to severe ARDS patients

**Objectives**
- Measure the dynamics of end-expiratory lung volume changes during an increase and decrease in PEEP between 5 and 40 cmH2O by steps of 5 cmH2O to determine the optimal duration for each step during an SRM

**Main Results**
- During the increase in PEEP, the expected increased volume (respiratory system compliance by the increase in pressure) was achieved within 2 [2-2] breaths and 95% of the additional increased volume (total end expiratory volume change minus expected increased volume) was achieved within 13 [6–16] breaths. During the decrease in PEEP, the expected decreased volume was achieved within 1 [1-1] breath, and 95% of the additional decreased volume was achieved within 8 [2-15] breaths.

**Conclusion**
In early ARDS, most of the end expiratory volume change occurred within the first minute

### Acute physiologic effects of a stepwise recruitment maneuver in acute respiratory distress syndrome

Minerva Anestesiol. 2011 Dec;77(12):1167-75

**Design**
Prospective interventional study: Staircase RM (recruitment maneuver) up to 40 cmH2O

**Patients**
13 early ARDS patients

**Objectives**
Assess the clinical impact of RM

**Main Results**
- 2 h after the RM, the PaO2/FiO2 was higher than at baseline (187 ±102 versus 339 ±136 mmHg). The RM was discontinued due to severe complications in four patients: 3 for CO2 decrease, 1 for hypotension, 1 for supraventricular tachycardia.

**Conclusion**
Staircase RM should be applied carefully and closely monitored
Acute hemodynamic effects of recruitment maneuvers in patients with acute respiratory distress syndrome

Park KJ, Oh YJ, Chang HJ, Sheen SS, Choi J, Lee KS, Park JH, Hwang SC

<table>
<thead>
<tr>
<th>Design</th>
<th>Prospective interventional study: 30 seconds sustained inflation at 40 cmH2O</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients</td>
<td>22 ARDS patients</td>
</tr>
<tr>
<td>Objectives</td>
<td>Evaluated circulatory and cardiac changes during RM (recruitment maneuver)</td>
</tr>
<tr>
<td>Main Results</td>
<td>Mean, systolic, and diastolic blood pressure decreased at 20 and 30 seconds during RM (mean blood pressure: 92 ±12 at baseline to 83 ±18 mmHg at the end of the RM) and subsequently recovered. Heart rate decreased at 10 and 20 seconds during the RM, and tended to increase afterward. Both ventricular dimensions decreased significantly during the RM. The left ventricular ejection fraction and peak velocity of the left ventricle during systole remained stable. The fractional changes in mean BP (blood pressure)and left ventricular end-diastolic dimension were correlated.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>A transient decrease in mean BP was observed during the RM, and its degree was correlated with the preload decrease</td>
</tr>
</tbody>
</table>

Intercomparison of recruitment maneuver efficacy in three models of acute lung injury

Lim SC, Adams AB, Simonson DA, Dries DJ, Broccard AF, Hotchkiss JR, Marini JJ
Crit Care Med. 2004 Dec;32(12):2371-7

<table>
<thead>
<tr>
<th>Design</th>
<th>Animal study: 40 seconds sustained inflation at 45 cmH2O, staircase RM (recruitment maneuver) and PC</th>
</tr>
</thead>
<tbody>
<tr>
<td>Patients</td>
<td>28 pigs with VILI, oleic acid injury or pneumococcal pneumonia</td>
</tr>
<tr>
<td>Objectives</td>
<td>Evaluate the hemodynamic consequence of 3 RM techniques</td>
</tr>
<tr>
<td>Main Results</td>
<td>PC caused a lasting increase of PaO2 in the VILI model, but in oleic acid injury and pneumococcal pneumonia, there were no differences for any RM technique.</td>
</tr>
<tr>
<td>Conclusion</td>
<td>The 3 RM techniques were equivalent in terms of oxygenation</td>
</tr>
</tbody>
</table>
Pediatric patients

Respiratory and hemodynamic effects of a stepwise lung recruitment maneuver in pediatric ARDS: a feasibility study

Cruces P, Donoso A, Valenzuela J, Diaz F

Design
Prospective interventional study: Staircase RM (recruitment maneuver) up to 25 cmH2O

Patients
25 pediatric early ARDS patients, age = 5 [1-16] months

Objectives
Assess the effects on gas exchange and lung mechanics of RM in pediatric ARDS patients

Main Results
30 RM were performed, with all completed successfully. No airleaks developed. Mild hypotension was detected during 4 RM. Following RM, Crs (compliance of respiratory system), and PaO2/FiO2 increased without changes in PaCO2. Oxygenation improved at 12 and 24 hr. The 28-day mortality rate was 16%.

Conclusion
RM were safe, well tolerated and improved lung function in children with ARDS

Figure 18: Compliance decreased at high level of PEEP during RM but increase during the decremental PEEP trial and was higher at the end of the protocol than at baseline
The safety and efficacy of sustained inflations as a lung recruitment maneuver in pediatric intensive care unit patients

Duff JP, Rosychuk RJ, Joffe AR

Design
Prospective interventional study: 15-20 seconds sustained inflation at 30-40 cmH2O was performed following a ventilator disconnection, suctioning, hypoxemia, or routinely every 12 h

Patients
32 pediatric patients aged from 11 days to 14 years

Objectives
Assess the safety and efficacy of RM (recruitment maneuver) in pediatric patients

Main Results
7/93 RM (7.5%) were interrupted for patient agitation, and 2/93 (2.2%) for transient bradycardia. There was no change in systolic blood pressure, heart rate, or SpO2 from pre-RM to post-RM, and there were no air leaks. In 3 patients with altered intracranial compliance, 3/8 RM were associated with a spike of intracranial pressure. There was a sustained significant decrease in FiO2 by 6% lasting up to 6 h post-RM.

Conclusion
RM was safe in pediatric patients
Comparison of 2 lung recruitment strategies in children with acute lung injury

Kheir JN, Walsh BK, Smallwood CD, Rettig JS, Thompson JE, Gómez-Laberge C, Wolf GK, Arnold JH
Respir Care. 2013 Aug;58(8):1280-90

Design  Prospective, non-randomized, crossover pilot study: 40 seconds sustained inflation at 40 cmH2O and staircase RM (recruitment maneuver) up to 35 cmH2O

Patients  10 pediatric ALI patients from 4 years to 17 years

Objectives  Compare the acute effects of 2 RM strategies

Main Results  Both methods were effective in raising PaO2 and FRC (functional residual capacity). Sustained inflation was associated with temporary desaturation. During the staircase RM, dead-space and PaCO2 increased, CO2 elimination and Crs decreased.

Conclusion  Both methods were effective in raising PaO2 but staircase RM required caution about CO2

Figure 19: PaCO2 increased and pH decreased during staircase recruitment maneuver
Lung aeration changes after lung recruitment in children with acute lung injury: a feasibility study


**Design**
Case series: Staircase RM (recruitment maneuver)

**Patients**
6 pediatric ALI patients aged from 1 month to 15 years

**Objectives**
Describe CT-scan lung aeration changes and gas exchange after RM in pediatric ALI patients

**Main Results**
There was a variable increase in aerated and poorly aerated lung after the RM ranging from 3% to 72% (20% [6-47]). All patients had improvement in PaO2 /FiO2 after the RM (14% [8-72]. 4/6 had a decrease in PaCO2. One subject had transient hypercapnia during the RM and this correlated with the smallest increase in aerated lung. All patients tolerated the RM without hemodynamic compromise, barotrauma, hypoxemia, or dysrhythmias.

**Conclusion**
Lung recruitment resulted in improved lung aeration as detected by lung tomography, accompanied by improvements in oxygenation and ventilation.

---

**Figure 20:** Pediatric staircase recruitment maneuver protocol. PEEP is increased by 2 cmH2O every minute to critical opening pressure (PEEP associated with the highest Crs) or Pinsp = 45 cmH2O. Then PEEP is decreased by 2 cmH2O every minute to critical opening pressure (PEEP associated with the highest Crs). After 2 min is spent at the critical opening pressure and PEEP is settled at critical opening pressure +2 cmH2O.
Additional files

The Nature of Recruitment and Derecruitment and its Implications for Management of ARDS

Kallet RH, Lipnick MS, Burns G
Respir Care. 2020 Oct 13:respcare.08280

<table>
<thead>
<tr>
<th>Design</th>
<th>Review</th>
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<tbody>
<tr>
<td><strong>Objectives</strong></td>
<td>This narrative review examines the evidence used to design RM (recruitment maneuver) strategies, with the radiologic, rheologic, and histopathologic evidence regarding the nature of lung injury, the phenomena of recruitment and derecruitment as it informs our perceptions of recruitment potential in ARDS. Major clinical trial data are examined to assess the practical necessity of RM in ARDS, and whether a subset of cases might benefit from pursuing RM therapy.</td>
</tr>
<tr>
<td><strong>Conclusion</strong></td>
<td>A less radical approach to RM is offered that might achieve the goals of RM with less risk of harm.</td>
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</table>

Recruitment maneuvers and higher PEEP, the so-called open lung concept, in patients with ARDS

Van der Zee P, Gommers D.

<table>
<thead>
<tr>
<th>Design</th>
<th>Review</th>
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<tbody>
<tr>
<td><strong>Objectives</strong></td>
<td>Discuss the pathophysiology of ARDS and VILI (ventilator-induced lung injury), limitations and indications of the open lung concept, bedside monitoring to guide the open lung concept</td>
</tr>
<tr>
<td><strong>Conclusion</strong></td>
<td>Open lung concept should be applied in patients with severe ARDS with refractory hypoxemia, but only if a patient is a responder to recruitment</td>
</tr>
</tbody>
</table>
Lung recruitment maneuvers for adult patients with acute respiratory distress syndrome. A systematic review and meta-analysis.

Goligher EC, Hodgson CL, Adhikari NKJ, Meade MO, Wunsch H, Uleryk E, Gajic O, Amato MPB, Ferguson ND, Rubenfeld GD, Fan E

Design Systematic review and meta-analysis

Objectives Summarize the current evidence in support of the use of RM (recruitment maneuver) in ARDS patients

Main Results Meta-analysis of six trials suggested a mortality reduction (RR, 0.81; 95% CI, 0.69-0.95), an improvement of oxygenation and less frequent requirement for rescue therapy. RM were not associated with an increased rate of barotrauma or with the rate of hemodynamic compromise.

Conclusion Randomized trials suggest that RM in combination with a higher PEEP ventilation strategy reduce mortality

Lung Recruitment Maneuvers for ARDS Patients: A Systematic Review and Meta-Analysis

Cui Y, Cao R, Wang Y, Li G

Design Systematic review and meta-analysis

Objectives Determine whether lung recruitment maneuvers (LRMs) have benefits on ARDS patients

Conclusion This meta-analysis showed a significant benefit of LRMs for shortening the length of hospital stay and improving the PaO2/FiO2 ratio.
Recruitment maneuvers and PEEP titration

Hess DR
Respir Care. 2015 Nov;60(11):1688-704

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<thead>
<tr>
<th>Design</th>
<th>Review</th>
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<tbody>
<tr>
<td><strong>Conclusion</strong></td>
<td>Principles and methods for recruitment and PEEP titration</td>
</tr>
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</table>

Lung recruitment in acute respiratory distress syndrome: what is the best strategy?

Keenan JC, Formenti P, Marini JJ

<table>
<thead>
<tr>
<th>Design</th>
<th>Review</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Conclusion</strong></td>
<td>Why, when and how to perform RM (recruitment maneuver)</td>
</tr>
</tbody>
</table>
### Recruitment maneuvers for acute lung injury: a systematic review

Fan E, Wilcox ME, Brower RG, Stewart TE, Mehta S, Lapinsky SE, Meade MO, Ferguson ND  
*Am J Respir Crit Care Med.* 2008 Dec 1;178(11):1156-63  

<table>
<thead>
<tr>
<th><strong>Design</strong></th>
<th>Systematic review</th>
</tr>
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<tbody>
<tr>
<td><strong>Objectives</strong></td>
<td>Summarize the physiologic effects and adverse events of RM (recruitment maneuver)</td>
</tr>
<tr>
<td><strong>Conclusion</strong></td>
<td>Oxygenation was significantly increased after an RM, there were no persistent, clinically significant changes in hemodynamic parameters after an RM. Hypotension and desaturation were the most common adverse events. Serious adverse events (barotrauma [1%] and arrhythmias [1%]) were infrequent.</td>
</tr>
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